THE TECHNIQUE OF DATA FLOW DIAGRAMMING

This section describes in detail the data flow diagramming technique. It is intended to serve as a handbook to guide the reader in developing data flow diagramming skills.

Definition:

Data Flow Diagramming is a means of representing a system at any level of detail with a graphic network of symbols showing data flows, data stores, data processes, and data sources/destinations.

Data flow diagrams illustrate how data is processed by a system in terms of inputs and outputs.

Purpose/Objective:

The purpose of data flow diagrams is to provide a semantic bridge between users and systems developers. The diagrams are:

- Graphical, eliminating thousands of words;
- Logical representations, modeling WHAT a system does, rather than physical models showing HOW it does it;
- Hierarchical, showing systems at any level of detail; and
- Jargon less, allowing user understanding and reviewing.

The goal of data flow diagramming is to have a commonly understood model of a system. The diagrams are the basis of structured systems analysis. Data flow diagrams are supported by other techniques of structured systems analysis such as data structure diagrams, data dictionaries, and procedure-representing techniques such as decision tables, decision trees, and structured English.

Data flow diagrams have the objective of avoiding the cost of:
- user/developer misunderstanding of a system, resulting in a need to redo systems or in not using the system.
- having to start documentation from scratch when the physical system changes since the logical system, WHAT gets done, often remains the same when technology changes.
- systems inefficiencies because a system gets "computerized" before it gets "systematized".
- being unable to evaluate system project boundaries or degree of automation, resulting in a project of inappropriate scope.

**General Description:** Data Flow Diagrams are composed of the four basic symbols shown below.

![Data Flow Diagram Symbols](image)

The External Entity symbol represents sources of data to the system or destinations of data from the system.

The Data Flow symbol represents movement of data.

The Data Store symbol represents data that is not moving (delayed data at rest).

The Process symbol represents an activity that transforms or manipulates the data (combines, reorders, converts, etc.).

Any system can be represented at any level of detail by these four symbols.

**External Entities:**

1. are named with appropriate name.
2. can be duplicated, one or more times, on the diagram to avoid line crossing.
3. determine the system boundary. They are external to the system being studied. They are often beyond the area of influence of the developer.
4. can represent another system or subsystem.
5. go on margins/edges of data flow diagram.

**External Entity**

External entities are objects outside the system, with which the system communicates. External entities are sources and destinations of the system's inputs and outputs.
External Entity Notations

Data Flows:

1. Data flows are represented with a line with an arrowhead on one end. A fork in a data flow means that the same data goes to two separate destinations. The same data coming from several locations can also be joined.
2. Data flows should only represent data, not control.
3. Data flows are ALWAYS named. Name is not to include the word "data".
4. Data flows are referenced by a combination of the identifiers of the constructs that the data flow connects. (14-A references a data flow from process 14 to external entity A)

Dataflow

Dataflows are pipelines through which packets of information flow. Label the arrows with the name of the data that moves through it.

Dataflow Notations

Data Stores:

1. Data stores are generic for physical files (index cards, desk drawers, magnetic disk, magnetic tape, shirt pocket, human memory, etc.)
2. Data stores are named with an appropriate name, not to include the word "file", and numbered with a number preceded with a capital letter D
3. Data stores can be duplicated, one or more times, to avoid line crossing.
4. Data stores can show two or more systems that share a data store. This is done by adding a solid stripe on the left boundary. (Figure 5.34) This can occur in the case of one system updating the data store, while the other system only accesses the data. For example, the data store could be a freight rate book that one system builds and maintains, but is used by the represented system.
5. Data stores are detailed in the data dictionary or with data description diagrams.
DataStore

Datastores are repositories of data in the system. They are sometimes also referred to as files.

Datastore Notations

Yourdon and Coad

Datastore Notations

Gane and Sarson

Processes:

1. show data transformation or change. Data coming into a process must be "worked on" or transformed in some way. Thus, all processes must have inputs and outputs. In some (rare) cases, data inputs or outputs will only be shown at more detailed levels of the diagrams. Each process in always "running" and ready to accept data.
2. are represented by a rounded corner rectangle
3. are named with one carefully chosen verb and an object of the verb. There is no subject. Name is not to include the word "process". Each process should represent one function or action. If there is an "and" in the name, you likely have more than one function (and process).
4. have physical location shown only for existing physical systems or a physical design is being represented.
5. are numbered within the diagram as convenient. Levels of detail are shown by decimal notation. For example, top level process would be Process 14, next level of detail Processes 14.1-14.4, and next level with Processes 14.3.1-14.3.6.
6. should generally move from top to bottom and left to right.

Process

A process transforms incoming data flow into outgoing data flow.

Process Notations

Yourdon and Coad

Process Notations

Gane and Sarson

Procedure:

The procedure for producing a data flow diagram is to:

1. identify and list external entities providing inputs/receiving outputs from system;
2. identify and list inputs from/outputs to external entities;
3. create a context diagram with system at center and external entities sending and receiving data flows;
4. identify the business functions included within the system boundary;
5. identify the data connections between business functions;
6. confirm through personal contact sent data is received and vice-versa;
7. trace and record what happens to each of the data flows entering the system (data movement, data storage, data transformation/processing)
8. attempt to connect any diagram segments into a rough draft;
9. verify all data flows have a source and destination;
10. verify data coming out of a data store goes in;
11. redraw to simplify--ponder and question result;
12. review with "informed";
13. explode and repeat above steps as needed.

**Data Flow Diagram Layers**

Draw data flow diagrams in several nested layers. A single process node on a high level diagram can be expanded to show a more detailed data flow diagram. Draw the context diagram first, followed by various layers of data flow diagrams.

<table>
<thead>
<tr>
<th>Context Diagram</th>
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<tbody>
<tr>
<td>level 1 DFD</td>
</tr>
<tr>
<td>process 0</td>
</tr>
<tr>
<td>process 1</td>
</tr>
<tr>
<td>process 2</td>
</tr>
<tr>
<td>process 1.1</td>
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<tr>
<td>process 1.2</td>
</tr>
<tr>
<td>process 2.1</td>
</tr>
<tr>
<td>process 2.2</td>
</tr>
</tbody>
</table>

*The nesting of data flow layers*

**Context Diagrams**

A context diagram is a top level (also known as Level 0) data flow diagram. It only contains one process node (process 0) that generalizes the function of the entire system in relationship to external entities.
### DFD levels

The first level DFD shows the main processes within the system. Each of these processes can be broken into further processes until you reach pseudocode.

#### An example first-level data flow diagram

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### Drawing Nested DFDs in SmartDraw

You can easily nest data flow diagrams in SmartDraw. Draw the high-level diagrams first, then select the process you want to expand, go to the **Tools** menu, and select **Insert Hyperlink**. Link the selected process notation to another SmartDraw diagram or a web page.
Once linked, a small plus sign will appear in the object, and clicking on it opens the linked file.

![Diagram of linked objects]

*Clicking on the plus sign will open the linked file*

When you link two SmartDraw files, the link created between them is absolute, not relative. (A link to a file on your hard drive will look something like this: C://SmartDraw Files/Project X/dataflow2.sdr instead of a relative link: dataflow2.sdr) In order to share your files with others, you can either save your files to a network drive or save and publish them as web pages. If you save your diagrams on a network, make sure you go to **Network Neighborhood** or **My Network Places** to find the appropriate directory instead of using your local mapped drives.
Guidelines/Gumption Traps:

(Places where DFDing can go astray)

1. System boundary establishment is an important judgment call. External entities are an aid in determining where the boundary is established. An interfacing system can be shown as an external entity. It may be necessary to dictate the input of the external entity to assure system control. For example, customers may be required to submit orders or refund requests containing specific information, which may require that, the system aid in completion of a form. Use of output such as reports by management may require some agreement on tactics to be performed, which may mean the entity becomes part of the system, not external to it. When in doubt, include the external entity as processes within the system and then evaluate with those concerned.

2. Label your processes carefully and vividly. A process that is labeled "Produce Report" and has the output of "Report" tells a reviewer very little. If you have trouble labeling anything on the diagram, it often is because you do not have adequate understanding. Choose names carefully.

3. Think logical, not physical. Ignore media, color, font, layout, packaging, time, sequencing, etc. Think "what", not "how". Something logical can be implemented physically in more than one way. Including "when" and "where" and "how" means you are getting physical.

4. Think data, not control flow. Data flows are pathways for data. Think about what data is needed to perform a process or update a data store. A data flow diagram is not a flowchart and should not have
loops or transfer of control. Think about the data flows, data processes, and data storage that are needed to move a data structure through a system.

5. Concentrate first on what happens to a "good" transaction. Systems people have a tendency to lose sight of the forest because they are so busy concentrating on the branches of the trees.

6. Reviewers will not be convinced by confusion. A quality data flow diagram will be so simple and straightforward that people will wonder what took you so long.

7. Data store to data store, external entity to external entity, or external entity to data store connection usually do not make sense. Data flows with an arrowhead on each end cause confusion in labeling. Do not use them.

8. Do not try to put everything you know on the data flow diagram. The diagram should serve as index and outline. The index/outline will be "fleshed out" in the data dictionary, data structure diagrams, and procedure specification techniques.

### Data Flow Diagram (DFD) Tools for Process Modeling

<table>
<thead>
<tr>
<th>DFD Tool</th>
<th>Features</th>
<th>Advantages</th>
<th>Disadvantages</th>
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</table>
| Microsoft PowerPoint or Word   | Draw capabilities provide the symbols needed for DFDs, ERDs, and system flow charts. | 1. Part of MS Office.  
2. Familiar tool.  
3. Available on many home PCs. | 1. Creating some shapes is time consuming.  
2. No rule checking for any diagrams. |
| Visible Analyst by Visible Systems Corporation* | Draw capabilities for DFDs, ERDs, UML diagrams and other diagrams. | 1. Enables schema generation and reverse engineering of databases.  
2. Enables integration of structured and object-oriented development efforts.  
3. Stores metadata of processes and data that can be shared throughout the firm. | 1. The educational version does not allow sharing of files and diagrams. |
| Process Analyst 6.1 by Sybase   | Data flow diagrams (DFDs)                                                 | 1. Free for 30 days from Sybase website**  
2. Basic DFD symbols are provided.  
3. Many DFD rules are checked during drawing. | 1. Does not provide process symbol with place or actor.  
2. Rule checking is not complete. |
| Visio Pro by Microsoft          | Complete drawing tool for DFDs, ERDs, system flow charts, user-oriented iconic drawings and many other MIS diagrams. | 1. Very easy to use for a wide range of diagrams.  
2. Complete rule checking.  
3. This CASE tool has the greatest market share for MIS diagramming so you are more likely to use this than any other tool in the future. | 1. Expensive even with educational discount – more than $100. |